

# ACM BUNDLES ON GENERAL HYPERSURFACES IN $\mathbb{P}^5$ OF LOW DEGREE

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ABSTRACT. In this paper we show that on a general hypersurface of degree  $r = 3, 4, 5, 6$  in  $\mathbb{P}^5$  a rank 2 vector bundle  $\mathcal{E}$  splits if and only if  $h^1\mathcal{E}(n) = h^2\mathcal{E}(n) = 0$  for all  $n \in \mathbb{Z}$ . Similar results for  $r = 1, 2$  were obtained in [15], [16] and [1].

## 1. INTRODUCTION

The construction of rank 2 bundles on smooth varieties  $X$  of dimension  $n > 3$  is strictly related with the structure of subvarieties of codimension 2. When  $X$  is a projective space, then there are few examples of these subvarieties which are smooth. The famous Hartshorne's conjecture suggests that all smooth subvarieties of codimension 2 in  $\mathbb{P}^7$  are complete intersection. Rephrased in the language of vector bundles, this means that all rank 2 bundles on  $\mathbb{P}^7$  decompose in a sum of two line bundles.

Also in  $\mathbb{P}^5$ ,  $\mathbb{P}^6$ , we do not have examples of indecomposable rank 2 bundles. In  $\mathbb{P}^4$ , only the Horrocks-Mumford's indecomposable bundle is known. This bundle has some non-zero cohomology group, since it is well known that a rank 2 bundle  $\mathcal{E}$  on  $\mathbb{P}^r$  ( $r \geq 3$ ) splits if and only if it is "arithmetically Cohen-Macaulay" (ACM for short), i.e.  $h^i(\mathcal{E}(n)) = 0$  for all  $n, i = 1, \dots, r-1$ .

ACM property does not imply a decomposition when we replace the projective space with other smooth threefolds. There are examples of indecomposable ACM bundles of rank 2 on a general hypersurface of degree  $r = 2, 3, 4, 5$  in  $\mathbb{P}^4$ . On the other hand we proved in [6] that all ACM rank 2 bundles on a *general* sextic in  $\mathbb{P}^4$  splits.

In this paper we examine the similar problem for general hypersurfaces  $X$  in  $\mathbb{P}^5$ , in some sense the easiest examples of smooth 4-folds different from  $\mathbb{P}^4$ .

It is well known that a general quadric hypersurface  $X$  in  $\mathbb{P}^5$  contains families of planes. Since any plane  $S$  has a canonical class which is a twist of the restriction of the canonical class of the quadric (in other words: a plane is "subcanonical" in  $X$ ), then  $S$  corresponds via the Serre's construction to a rank 2 bundle  $\mathcal{E}$  on  $X$  which is indecomposable (for  $S$  is not complete intersection of  $X$  and some other hypersurface) and ACM (for  $S$  is arithmetically Cohen-Macaulay).

On the other hand, since any indecomposable ACM rank 2 bundle on a general sextic hypersurface in  $\mathbb{P}^5$  would restrict to an indecomposable rank 2 ACM bundle on a general hyperplane section of  $X$ , which is a general sextic hypersurface of  $\mathbb{P}^4$ , then by the main result of [6] we know that such bundles cannot exist (see proposition 3.6 below).

Thus we are led to consider *general* hypersurfaces  $X_r \subset \mathbb{P}^5$  of low degree  $r$  and study ACM rank 2 bundles on  $X_r$ . Our main result shows that none of such vector bundles lives on  $X_r$  for  $2 < r < 7$ :

**Theorem 1.1.** *Let  $\mathcal{E}$  be a rank 2 vector bundle on a general hypersurface  $X_r \subset \mathbb{P}^5$  of degree  $r = 3, 4, 5, 6$ . Then  $\mathcal{E}$  splits if and only if*

$$h^i(\mathcal{E}(n)) = 0 \quad \forall n \in \mathbb{Z} \quad i = 1, 2.$$

Notice that one finds indecomposable ACM rank 2 bundles on general hypersurfaces of degree 3, 4, 5 in  $\mathbb{P}^4$ . So we prove in fact that they do not lift from a general hyperplane section of  $X$  to  $X$  itself.

The proof is achieved using the tools of [6], since we have a classification of possible ACM indecomposable rank 2 bundles on a general hypersurface of low degree in  $\mathbb{P}^4$ . It has been obtained by Arrondo and Costa in degree 3 (see [2]), by the second author in degree 4 (see [13]) and by both authors in degree 5 ([5]). This implies a numerical characterization of the possible Chern classes of indecomposable ACM bundles of rank 2 on  $X$  (see also [12]), and we conclude with a case by case analysis.

In the language of codimension 2 subvarieties, we get the following characterization of complete intersections, which is the analogue of the classical Gherardelli's criterion for curves in  $\mathbb{P}^3$ :

**Corollary 1.2.** *Let  $S$  be a surface contained in a general hypersurface  $X_r \subset \mathbb{P}^5$  of degree  $r = 3, 4, 5, 6$ . Then  $S$  is complete intersection in  $X_r$  if and only if it is sub-canonical (i.e. its canonical class  $\omega_X$  is  $\mathcal{O}_S(e)$ , for some  $e \in \mathbb{Z}$ ) and  $h^i \mathcal{I}_{S/X_r}(n) = 0$  for all  $n \in \mathbb{Z}$  and  $i = 1, 2$ , where  $\mathcal{I}_{S/X_r}$  is the ideal sheaf defining  $S$  in  $X_r$ .*

Let us finish with some remarks.

The non-existence of indecomposable ACM rank 2 bundles on hypersurfaces of degree  $r \geq 7$  in  $\mathbb{P}^4$  has not been settled yet simply because the technicalities introduced in [6] become odd as the degree  $r$  grows. Indeed first of all the number of Chern classes which are not excluded using the main result of [12] grows as a linear function of  $r$ . Furthermore, as  $r$  grows, for any value of  $c_1$  one has to exclude an increasing number of second Chern classes. This is easy when  $c_2$  is big, but becomes hard for low  $c_2$  (compare the proof of case 5.11 in [6]), as we have to exclude the existence of some curves on  $X$ , which could be reducible or even non-reduced. We did not find a general argument for this step: only a careful ad hoc examination led us to conclude the case of sextic threefolds in  $\mathbb{P}^4$ .

On the other hand, there are strong evidences that ACM rank 2 indecomposable bundles cannot exist on general hypersurfaces of degree 7 or more. We were unable to prove this statement in  $\mathbb{P}^4$ . Could it be easier to find a direct proof for hypersurfaces in  $\mathbb{P}^5$ ?

In any event, the main theorem implies easily:

**Corollary 1.3.** *On a general hypersurface  $X$  of degree 3, 4, 5, 6 in  $\mathbb{P}^n$ ,  $n \geq 5$ , a rank 2 vector bundle splits if and only if it is arithmetically Cohen-Macaulay.*

Finally observe that Evans and Griffith proved in [8] that a rank 2 bundle  $\mathcal{E}$  on  $\mathbb{P}^4$  splits if and only if  $h^1(\mathcal{E}(n)) = 0$  for all  $n$ . This condition is considerably weaker than ACM. We wonder if a similar result could work on a general hypersurface of low degree in  $\mathbb{P}^5$ .

## 2. NOTATIONS AND GENERALITIES

We work over the field of complex numbers  $\mathbb{C}$ . Let  $X_r \subset \mathbb{P}^5$  be a smooth 4-dimensional hypersurface of degree  $r \geq 1$ . The letter  $H$  will denote the class of a hyperplane section of  $X_r$ . We have  $\text{Pic}(X_r) \cong \mathbb{Z}[H]$ , and  $H^4 = r$ . Recall that the canonical class of  $X_r$  is  $\omega_{X_r} = (r - 6)H$ . Given a vector bundle  $\mathcal{E}$  on  $X_r$  we introduce the non negative integer

$$(2.1) \quad b(\mathcal{E}) = b = \max\{n \mid h^0(\mathcal{E}(-n)) \neq 0\}.$$

**Definition 2.1.** *We say that the vector bundle  $\mathcal{E}$  is normalized if  $b(\mathcal{E}) = 0$ .*

Notice that changing  $\mathcal{E}$  with  $\mathcal{E}(-b)$ , we may always assume that  $\mathcal{E}$  is normalized. From now on we will assume this.

We denote by  $c_1 = c_1(\mathcal{E})$  the first Chern class of  $\mathcal{E}$  identified with an integer via the isomorphism  $\text{Pic}(X_r) \cong \mathbb{Z}[H]$ .

When  $\mathcal{E}$  has rank 2, the number

$$(2.2) \quad 2b - c_1 = 2b(\mathcal{E}) - c_1(\mathcal{E})$$

is invariant by twisting and measures the “level of stability of  $\mathcal{E}$ ”. Indeed  $\mathcal{E}$  is stable (semistable) if and only if  $0 > 2b - c_1$  ( $0 \geq 2b - c_1$ ).

We say that  $\mathcal{E}$  is “arithmetically Cohen–Macaulay (ACM)” when for all  $n \in \mathbb{Z}$  we have  $h^1(\mathcal{E}(n)) = h^2(\mathcal{E}(n)) = 0$ . Clearly this implies, by duality,  $h^3(\mathcal{E}(n)) = 0$  for all  $n \in \mathbb{Z}$ .

Take a global section  $s$  of  $\mathcal{E}$  whose zero-locus  $S$  has codimension 2. We have the following exact sequence (see e.g. [17]):

$$(2.3) \quad 0 \rightarrow \mathcal{O}_{X_r} \rightarrow \mathcal{E} \rightarrow \mathcal{I}_{S/X_r}(c_1(\mathcal{E})) \rightarrow 0$$

which relates the cohomology of  $\mathcal{E}$  with the geometric properties of  $S \subset X_r$  encoded by the cohomology groups of the ideal sheaf  $\mathcal{I}_{S/X_r}$  of  $S$ .

In particular  $S$  is subcanonical, i.e.  $K_S \cong \mathcal{O}_S(c_1(\mathcal{E}) + r - 6)$ , moreover  $c_2(\mathcal{E}) = \deg S$ . Also we have a formula for the sectional genus  $g$  of the surface  $S$ :

$$(2.4) \quad 2g - 2 = c_2 + K_S \cdot H \cdot S = c_2 + (c_1 + r - 6)H \cdot H \cdot S = c_2(c_1 + r - 5)$$

Conversely, starting with a locally complete intersection and subcanonical surface  $S$  contained in  $X_r$  one can reconstruct a rank 2 vector bundle having a global section vanishing exactly on  $S$ . In these cases we will say that the vector bundle “ $\mathcal{E}$  is associated with  $S$ ”.

We notice that when  $\mathcal{E}$  is normalized, then every global section of  $\mathcal{E}$  has zero-locus of codimension 2.

If  $Y_r$  is a general hyperplane section of  $X_r$  and  $\mathcal{E}$  is a rank two vector bundle on  $X_r$ , we denote by  $\mathcal{E}'$  the restriction of  $\mathcal{E}$  to  $Y_r$ . We know that  $\text{Pic}(Y_r) \cong \mathbb{Z}[h]$ , where  $h$  is the hyperplane class of  $Y_r$ . Under the isomorphism  $\text{Pic}(X_r) \cong \text{Pic}(Y_r)$  we have  $c_1(\mathcal{E}) = c_1(\mathcal{E}')$  and  $c_2(\mathcal{E}) = c_2(\mathcal{E}')$ .

We recall here the main results of [12] and [6], which we are going to use several times in the sequel:

**Theorem 2.2.** *(see [12]) Let  $Y_r$  be a smooth hypersurface of degree  $r$  in  $\mathbb{P}^4$ . If  $\mathcal{E}$  is an ACM and normalized rank 2 vector bundle on  $Y_r$ , then  $\mathcal{E}$  splits unless  $r > c_1 > 2 - r$ .*

**Theorem 2.3.** (see [6]) *Let  $Y$  be a general hypersurface of degree 6 in  $\mathbb{P}^4$ . Then a rank 2 vector bundle  $\mathcal{E}$  on  $Y$  splits in a sum of line bundles if and only if  $\mathcal{E}$  is ACM.*

### 3. SOME PRELIMINARY GENERAL RESULTS

**Remark 3.1.** Consider the exact sequence which links  $\mathcal{E}$  with its restriction  $\mathcal{E}'$  to a general hyperplane section  $Y_r$  of  $X_r$ :

$$(3.1) \quad 0 \rightarrow \mathcal{E}(-1) \rightarrow \mathcal{E} \rightarrow \mathcal{E}' \rightarrow 0$$

Then  $b(\mathcal{E}') \geq b(\mathcal{E})$  and equality holds when  $h^1(\mathcal{E}(-b(\mathcal{E}) - 2)) = 0$ , which is true when  $\mathcal{E}$  is ACM.

Notice that, by the sequence, if  $\mathcal{E}$  is ACM on  $X_r$  then  $\mathcal{E}'$  is also ACM on  $Y_r$ . It is clear that  $\mathcal{E}'$  splits when  $\mathcal{E}$  splits. Conversely assume that  $\mathcal{E}$  is ACM and  $\mathcal{E}'$  splits. Take a global section  $s' \in H^0(\mathcal{E}'(a))$  with empty zero-locus. The surjection  $H^0(\mathcal{E}(a)) \rightarrow H^0(\mathcal{E}'(a)) \rightarrow 0$  derived from sequence (3.1) shows that  $s'$  lifts to a global section  $s \in H^0(\mathcal{E}(a))$ , whose zero-locus must be empty, since otherwise it had at most codimension 2, a contradiction for it does not intersect a hyperplane.

It follows that we may apply the main result of [12], getting:

**Proposition 3.2.** *If  $\mathcal{E}$  is an ACM and normalized rank 2 vector bundle on  $X_r$ , then  $\mathcal{E}$  splits unless  $r > c_1 > 2 - r$ .*

Some well known facts about the non-existence of surfaces of low degree on general hypersurfaces of  $\mathbb{P}^5$  together with a numerical analysis leads us to the following refinement of the previous result:

**Proposition 3.3.** *Let  $\mathcal{E}$  be a normalized ACM rank 2 vector bundle on a general hypersurface  $X_r \subset \mathbb{P}^5$  of degree  $r \geq 3$ . Then  $\mathcal{E}$  splits unless  $3 - r < c_1 < r$ .*

*Proof.* We need to exclude the case  $c_1 = 3 - r$ .

Consider a global section  $s$  and its zero-locus  $S$ . The exact sequence (2.3) here reads

$$0 \rightarrow \mathcal{O}_{X_r} \rightarrow \mathcal{E} \rightarrow \mathcal{I}_{S/X_r}(3 - r) \rightarrow 0$$

and implies  $h^0(\mathcal{E}(r - 3)) = h^0(\mathcal{O}_{X_r}(r - 3))$ . By Serre duality  $h^4(\mathcal{E}(r - 3)) = h^0(\mathcal{E}(r - 6)) = h^0(\mathcal{O}_{X_r}(r - 6))$ . Moreover  $h^1(\mathcal{E}(r - 3)) = h^2(\mathcal{E}(r - 3)) = 0$  for  $\mathcal{E}$  is ACM. Thus  $\chi(\mathcal{E}(r - 3)) = h^0(\mathcal{O}_{X_r}(r - 3)) + h^0(\mathcal{O}_{X_r}(r - 6))$ . By Riemann-Roch one is thus able to compute the second Chern class of  $\mathcal{E}(r - 3)$ , hence also the second Chern class  $c_2$  of  $\mathcal{E}$ . It turns out  $c_2 = 1$ . So  $S$  is a plane. Since a general  $X_r$  of degree  $r \geq 3$  contains no planes (see e.g. [7]), then  $X_r$  has no indecomposable and normalized rank 2 ACM bundles with  $c_1 = -r + 3$ .  $\square$

Next we use the link between ACM bundles with  $c_1 = r - 1$  and pfaffian hypersurfaces.

**Definition 3.4.** *A hypersurface  $X_r \subset \mathbb{P}^5$  is pfaffian if its equation is pfaffian of a skew-symmetric matrix of linear forms in  $\mathbb{P}^5$ .*

The results proved by Beauville in [3] exclude the existence of ACM rank 2 bundles with  $c_1 = r - 1$  on a general hypersurface  $X_r$ ,  $r \geq 3$ .

**Proposition 3.5.** *When  $r \geq 3$  then a general hypersurface  $X_r \subset \mathbb{P}^5$  has no normalized indecomposable rank 2 ACM bundles  $\mathcal{E}$  with  $c_1(\mathcal{E}) = r - 1$  and  $c_2 = r(r - 1)(2r - 1)/6$ .*

*Proof.* It follows soon by the following two facts proved in [3].  $X_r$  is pfaffian if and only if there exists an indecomposable ACM rank 2 vector bundle on  $X_r$  with Chern classes as in the statement. Moreover the general hypersurface of degree  $r \geq 3$  in  $\mathbb{P}^5$  is not pfaffian.  $\square$

Let us now turn to hypersurfaces of low degree. We want to exclude the existence of indecomposable ACM rank 2 bundles on general hypersurfaces. This follows easily on sextic hypersurfaces, using the main result of [6].

**Proposition 3.6.** *On a general sextic hypersurface  $X \subset \mathbb{P}^5$  all ACM rank 2 bundles  $\mathcal{E}$  split.*

*Proof.* A general hyperplane section  $Y$  of  $X$  is a general sextic hypersurface of  $\mathbb{P}^4$ . By remark 3.1 we know that an indecomposable ACM rank 2 bundle on  $X$  restricts to an indecomposable ACM rank 2 bundle on  $Y$ . In [6] we excluded the existence of such bundles.  $\square$

For hypersurfaces of degree  $r < 6$  we cannot use the same procedure, since there exist indecomposable ACM rank 2 bundles on general cubics, quartics and quintics of  $\mathbb{P}^4$ .

We use instead an examination of the family of surfaces associated to ACM rank 2 bundles. Let us set some more pieces of notation.

Call  $H(d, g)$  the Hilbert scheme of arithmetically Cohen–Macaulay (ACM) surfaces in  $\mathbb{P}^5$  of degree  $d = c_2$  and sectional genus  $g$  such that  $2g - 2 = c_2(c_1 + r - 5)$ . This is a smooth quasi-projective subvariety of the Hilbert scheme. Let  $\mathbb{P}(r)$  be the scheme which parametrizes hypersurfaces of degree  $r$  in  $\mathbb{P}^5$ . In the product  $H(d, g) \times \mathbb{P}(r)$  one has the incidence variety

$$(3.2) \quad I(r, d, g) = \{(S, X) : X \text{ is smooth and } S \subset X\} \subset H(d, g) \times \mathbb{P}(r)$$

with the two obvious projections  $p(r) : I(r, d, g) \rightarrow H(d, g)$  and  $q(r) : I(r, d, g) \rightarrow \mathbb{P}(r)$ . The fibers of  $q(r)$  are projective spaces of fixed dimension (by Riemann–Roch).

We will show that  $I(r, d, g)$  does not dominate  $\mathbb{P}(r)$  for all choices of  $d, g$  corresponding to surfaces associated with an indecomposable ACM rank 2 bundle on a general  $X_r$ . This is achieved in the next sections by computing the dimension of  $I(r, d, g)$  and observing that it is smaller than  $\dim(\mathbb{P}(r))$ .

Let us see, for instance, what happens for quadric surfaces.

**Remark 3.7.** Any quadric surface  $S$  contained in a general hypersurface  $X_r$ ,  $r \geq 3$ , is reduced since  $X_r$  contains no planes. Hence it is a surface in  $\mathbb{P}^3$ , that is  $S$  is a complete intersection of type  $(1, 1, 2)$  in  $\mathbb{P}^5$ .

Thus we may compute the normal bundle  $N_S$  of  $S$ :

$$h^0(N_S) = h^0(\mathcal{O}_S(2) \oplus \mathcal{O}_S(1) \oplus \mathcal{O}_S(1)) = 9 + 4 + 4 = 17$$

hence  $\dim(H(2, 0)) \leq 17$ .

**Proposition 3.8.** *On a general hypersurface  $X_r \subset \mathbb{P}^5$  of degree  $r \geq 3$  there are no indecomposable normalized ACM rank 2 bundles  $\mathcal{E}$  with  $c_1(\mathcal{E}) = 4 - r$ .*

*Proof.* First we show that any such bundle  $\mathcal{E}$  is associated with a complete intersection quadric surface.

Consider a global section  $s \in H^0(\mathcal{E})$  and its zero-locus  $S$ . The exact sequence (2.3) here reads

$$0 \rightarrow \mathcal{O}_{X_r} \rightarrow \mathcal{E} \rightarrow \mathcal{I}_{S/X_r}(4 - r) \rightarrow 0$$

and implies  $h^0(\mathcal{E}(r-4)) = h^0(\mathcal{O}_{X_r}(r-4))$ .  $h^1(\mathcal{E}(r-4))$  and  $h^2(\mathcal{E}(r-4))$  vanish by assumptions. By duality  $h^4(\mathcal{E}(r-4)) = h^0(\mathcal{E}(r-6)) = h^0(\mathcal{O}_{X_r}(r-6))$ . Hence just as in proposition 3.3 we use Riemann-Roch to prove that  $c_2(\mathcal{E}) = 2$ . So  $S$  has degree 2. Since a general  $X_r$  contains no planes,  $S$  is reduced and the claim is proved.

Let  $\mathcal{I}_S$  indicate the ideal sheaf of  $S$  in  $\mathbb{P}^5$ . One computes from the resolution of  $\mathcal{I}_S$ :

$$h^0(\mathcal{I}_S(r)) = 2h^0(\mathcal{O}_{\mathbb{P}^5}(r-1)) - 2h^0(\mathcal{O}_{\mathbb{P}^5}(r-3)) + h^0(\mathcal{O}_{\mathbb{P}^5}(r-4))$$

and thus one easily sees that:

$$\dim(I(r, 2, 0)) \leq h^0(\mathcal{I}_S(r)) - 1 + h^0(N_S) < \dim(\mathbb{P}(r))$$

for  $r > 2$ , which means that the map  $q(r)$  above is not dominant. The conclusion follows.  $\square$

With the results above we dispose of the case of cubic hypersurfaces:

**Proposition 3.9.** *On a general hypersurface  $X := X_3 \subset \mathbb{P}^5$  of degree 3 there are no indecomposable ACM rank 2 bundles.*

*Proof.* By proposition 3.3 we know that any normalized indecomposable ACM rank 2 bundle on a smooth cubic hypersurface satisfies  $3 > c_1(\mathcal{E}) > 0$ . So only the cases  $c_1(\mathcal{E}) = 1$  and  $c_1(\mathcal{E}) = 2$  are left. But on a general cubic hypersurface the case  $c_1(\mathcal{E}) = 2$  is excluded by proposition 3.5 while the case  $c_1(\mathcal{E}) = 1$  is excluded by proposition 3.8.  $\square$

#### 4. QUARTIC HYPERSURFACES

In this section we fix  $r = 4$ . Our goal is to exclude the existence of indecomposable ACM rank 2 bundles  $\mathcal{E}$  on a general quartic fourfold  $X := X_4$ . We also assume that  $\mathcal{E}$  is normalized.

Arguing as in proposition 3.9 we know that for such a bundle  $\mathcal{E}$  the only possibilities for the first Chern classes are  $c_1(\mathcal{E}) = 2$  and  $c_1(\mathcal{E}) = 1$ .

We dispose of these cases using a computation for the normal bundle of the zero-locus of a general global section of  $\mathcal{E}$ .

**Remark 4.1.** If  $\mathcal{E}$  is an ACM rank 2 bundle on a smooth hypersurface  $X_r$ , then the zero-locus  $S$  of a global section of  $\mathcal{E}$  has codimension at most 3 in  $\mathbb{P}^5$ . If it has codimension 3, then it is an “arithmetically Gorenstein” subscheme of codimension 3 in the projective space  $\mathbb{P}^5$ . Thus its ideal sheaf  $\mathcal{I}_S$  in  $\mathbb{P}^5$  has a self dual free resolution of type

$$(4.1) \quad 0 \rightarrow \mathcal{O}(-e-6) \rightarrow \bigoplus_{j=1}^r \mathcal{O}(-m_j) \rightarrow \bigoplus_{i=1}^r \mathcal{O}(-n_i) \rightarrow \mathcal{I}_S \rightarrow 0$$

where  $e$  is the number such that the canonical class of  $S$  is  $e$  times the hyperplane section and  $e+6-m_i = n_i$  for all  $i$ .

Using the previous resolution one can compute the cohomology of the normal bundle  $N_S$  of  $S$  in  $\mathbb{P}^5$ . Indeed by [9] and Theorem 2.6 of [11] we have the following:

**Proposition 4.2.** (Kleppe - Miró-Roig) *With the notation of the previous remark, order the integers  $n_i$  and  $m_j$  so that:*

$$n_1 \leq n_2 \leq \dots \leq n_r \quad \text{and} \quad m_1 \geq m_2 \geq \dots \geq m_r.$$

Then:

$$(4.2) \quad h^0 N_S = \sum_{i=1}^r h^0 \mathcal{O}_S(n_i) + \sum_{1 \leq i < j \leq r} \binom{-n_i + m_j + 5}{5} + \\ - \sum_{1 \leq i < j \leq r} \binom{n_i - m_j + 5}{5} - \sum_{i=1}^r \binom{n_i + 5}{5}.$$

**Remark 4.3.** If  $S$  is an ACM subscheme of  $\mathbb{P}^5$  and  $C$  is a general hyperplane section of  $S$ , then a minimal resolution of the ideal sheaf of  $C$  in  $\mathbb{P}^4$  lifts to a minimal resolution of the ideal sheaf of  $S$  in  $\mathbb{P}^5$ .

Let us go back to general quartic hypersurfaces  $X$ .

A general hyperplane section  $Y$  of  $X$  is a general quartic threefold in  $\mathbb{P}^4$  and the restriction  $\mathcal{E}'$  of  $\mathcal{E}$  to  $Y$  is an indecomposable ACM bundle of rank 2. These bundles are classified in [13], where the possibilities for the second Chern classes of  $\mathcal{E}'$ , hence also of  $\mathcal{E}$ , are listed. These possibilities are:

$$(c_1, c_2) \in \{(-1, 1), (0, 2), (1, 3), (1, 4), (1, 5), (2, 8), (3, 14)\}.$$

The cases  $(c_1, c_2) = (-1, 1), (0, 2), (3, 14)$  cannot occur on a general quartic fourfold, by propositions 3.5, 3.3 and 3.8.

We explore the remaining possibilities case by case.

**Case 4.1.**  $c_1(\mathcal{E}) = 1, c_2(\mathcal{E}) = 3$ .

By [13]  $\mathcal{E}'$  is associated with a plane cubic curve, hence  $\mathcal{E}$  is associated with a cubic surface  $S \subset \mathbb{P}^3$ . It turns out  $h^0(N_S) = h^0(\mathcal{O}_S(3) \oplus \mathcal{O}_S^2(1)) = 27$  while the ideal sheaf  $\mathcal{I}_S$  has  $h^0(\mathcal{I}_S(4)) = 95$ . Thus in this case  $\dim(I(4, 3, 1)) \leq 121$ . Since  $\dim(\mathbb{P}(4)) = 125$ , the projection  $q(4) : I(4, 3, 1) \rightarrow \mathbb{P}(4)$  cannot be dominant and this case is excluded on a general quartic hypersurface  $X$ .

**Case 4.2.**  $c_1(\mathcal{E}) = 1, c_2(\mathcal{E}) = 4$ .

By [13]  $\mathcal{E}'$  is associated with a quartic curve, complete intersection of 2 quadrics in  $\mathbb{P}^3$ . Hence  $\mathcal{E}$  is associated with a complete intersection of two quadrics  $S \subset \mathbb{P}^4$ . It turns out  $h^0(N_S) = h^0(\mathcal{O}_S^2(2) \oplus \mathcal{O}_S(1)) = 31$  while  $h^0(\mathcal{I}_S(4)) = 85$ , so that  $\dim(I(4, 4, 1)) \leq 115$  and the projection  $q(4) : I(4, 4, 1) \rightarrow \mathbb{P}(4)$  cannot be dominant.

**Case 4.3.**  $c_1(\mathcal{E}) = 1, c_2(\mathcal{E}) = 5$ .

By [13]  $\mathcal{E}'$  is associated to an elliptic quintic curve, whose ideal sheaf  $\mathcal{I}$  in  $\mathbb{P}^4$  has resolution:

$$(4.3) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^4}(-5) \rightarrow \mathcal{O}_{\mathbb{P}^4}^5(-3) \rightarrow \mathcal{O}_{\mathbb{P}^4}^5(-2) \rightarrow \mathcal{I} \rightarrow 0$$

from which we have the resolution for the ideal sheaf  $\mathcal{I}_S$  of a quintic surface  $S$  associated with  $\mathcal{E}$ . Now we use proposition 4.2 to compute  $h^0(N_S) = 35$  while from the resolution we get  $h^0(\mathcal{I}_S(4)) = 75$  so that  $\dim(I(4, 5, 1)) \leq 109$  and again  $q(4)$  does not dominate  $\mathbb{P}(4)$ .

**Case 4.4.**  $c_1(\mathcal{E}) = 2, c_2(\mathcal{E}) = 8$ .

By [13]  $\mathcal{E}'$  is associated to a curve of degree 8 in  $\mathbb{P}^4$ , whose ideal sheaf  $\mathcal{I}$  has resolution:

$$(4.4) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^4}(-6) \rightarrow \mathcal{O}_{\mathbb{P}^4}^3(-4) \oplus \mathcal{O}_{\mathbb{P}^4}^x(-3) \rightarrow \mathcal{O}_{\mathbb{P}^4}^x(-3) \oplus \mathcal{O}_{\mathbb{P}^4}^3(-2) \rightarrow \mathcal{I} \rightarrow 0$$

from which we have the resolution for the ideal sheaf  $\mathcal{I}_S$  of a surface  $S$  of degree 8 associated with  $\mathcal{E}$ . Notice that we do not know the number of minimal generators

of degree 3 for the ideal sheaf of  $S$  (if any). Nevertheless we may use proposition 4.2 to compute  $h^0(N_S)$ . Indeed in the computation it turns out that the contribution of cubic generators disappears and one gets  $h^0(N_S) = 54$ . Also one sees that  $h^0(\mathcal{I}_S(4)) = 60$  so that  $\dim(I(4, 8, 5)) \leq 113$  and again  $q(4)$  does not dominate  $\mathbb{P}(4)$ .

No other cases may occur, by [13]. Hence we conclude:

**Proposition 4.4.** *On a general hypersurface  $X \subset \mathbb{P}^5$  of degree 4 there are no indecomposable ACM rank 2 bundles.*

## 5. QUINTIC HYPERSURFACES

In this section we exclude the existence of indecomposable ACM rank 2 bundles  $\mathcal{E}$  on a general quintic fourfold  $X$ . As usual we assume that  $\mathcal{E}$  is normalized.

In this case, we are left with several cases for the first Chern class, namely  $c_1(\mathcal{E}) = 0, 1, 2, 3$ .

Again a general hyperplane section  $Y$  of  $X$  is a general quintic threefold in  $\mathbb{P}^4$  and the restriction  $\mathcal{E}'$  of  $\mathcal{E}$  to  $Y$  is an indecomposable ACM bundle of rank 2. These bundles are classified in [5]. In particular for the Chern classes we have the following possibilities:

$c_1$	$c_2$
0	3, 4, 5
1	4, 6, 8
2	11, 12, 13, 14
3	20

We explore again the situation case by case.

**Case 5.1.**  $c_1(\mathcal{E}) = 0$ ,  $c_2(\mathcal{E}) = 3$ .

By [5]  $\mathcal{E}'$  is associated to a plane cubic curve, hence  $\mathcal{E}$  is associated to a cubic surface  $S \subset \mathbb{P}^3$ . Then as above one computes  $h^0(N_S) = 27$  while the ideal sheaf  $\mathcal{I}_S$  has  $h^0(\mathcal{I}_S(5)) = 206$ . Thus in this case  $\dim(I(5, 3, 1)) \leq 232$ . Since  $\dim(\mathbb{P}(5)) = 251$ , the projection  $q(5) : I(5, 3, 1) \rightarrow \mathbb{P}(5)$  cannot be dominant and this case is excluded on a general quintic hypersurface.

**Case 5.2.**  $c_1(\mathcal{E}) = 0$ ,  $c_2(\mathcal{E}) = 4$ .

By [5]  $\mathcal{E}'$  is associated with a quartic curve, complete intersection of 2 quadrics in  $\mathbb{P}^3$ . Hence  $\mathcal{E}$  is associated to a complete intersection of two quadrics  $S \subset \mathbb{P}^4$ . It turns out  $h^0(N_S) = 31$  while  $h^0(\mathcal{I}_S(5)) = 191$ , so that  $\dim(I(5, 4, 1)) \leq 221$  and  $q(5)$  is not dominant.

**Case 5.3.**  $c_1(\mathcal{E}) = 0$ ,  $c_2(\mathcal{E}) = 5$ .

By [5]  $\mathcal{E}'$  is associated with a quintic elliptic curve and as above one gets a resolution

$$(5.1) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^5}(-5) \rightarrow \mathcal{O}_{\mathbb{P}^5}^5(-3) \rightarrow \mathcal{O}_{\mathbb{P}^5}^5(-2) \rightarrow \mathcal{I} \rightarrow 0$$

for the ideal sheaf of a surface associated with  $\mathcal{E}$ . Then  $h^0(N_S) = 35$  while  $h^0(\mathcal{I}_S(5)) = 176$ , so that  $\dim(I(5, 5, 1)) \leq 210$  and  $q(5)$  is not dominant.

**Case 5.4.**  $c_1(\mathcal{E}) = 1$ ,  $c_2(\mathcal{E}) = 4$ .

By [5]  $\mathcal{E}'$  is associated with a plane quartic curve, hence  $\mathcal{E}$  is associated with a quartic surface  $S \subset \mathbb{P}^3$ . It turns out  $h^0(N_S) = h^0(\mathcal{O}_S(4) \oplus \mathcal{O}_S^2(1)) = 42$  while the



ideal sheaf  $\mathcal{I}_S$  has  $h^0(\mathcal{I}_S(5)) = 200$ . Thus in this case  $\dim(I(5, 4, 3)) \leq 241$  and the projection  $q(5) : I(5, 4, 3) \rightarrow \mathbb{P}(5)$  cannot be dominant.

**Case 5.5.**  $c_1(\mathcal{E}) = 1$ ,  $c_2(\mathcal{E}) = 6$ .

By [5]  $\mathcal{E}'$  is associated with a sextic curve, complete intersection of a quadric and a cubic in  $\mathbb{P}^3$ . Hence  $\mathcal{E}$  is associated with a corresponding complete intersection  $S \subset \mathbb{P}^4$ . It turns out  $h^0(N_S) = 48$  while  $h^0(\mathcal{I}_S(5)) = 175$ , so that  $\dim(I(5, 6, 4)) \leq 222$  and the projection  $q(5)$  cannot be dominant.

**Case 5.6.**  $c_1(\mathcal{E}) = 1$ ,  $c_2(\mathcal{E}) = 8$ .

By [5]  $\mathcal{E}'$  is associated to a curve of degree 8 in  $\mathbb{P}^4$ , whose ideal sheaf  $\mathcal{J}$  has resolution:

$$(5.2) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^4}(-6) \rightarrow \mathcal{O}_{\mathbb{P}^4}^3(-4) \oplus \mathcal{O}_{\mathbb{P}^4}^x(-3) \rightarrow \mathcal{O}_{\mathbb{P}^4}^x(-3) \oplus \mathcal{O}_{\mathbb{P}^4}^3(-2) \rightarrow \mathcal{J} \rightarrow 0.$$

As above one gets  $h^0(N_S) = 54$  while  $h^0(\mathcal{I}_S(5)) = 150$  so that  $\dim(I(5, 8, 5)) \leq 203$  and  $q(5)$  is not dominant.

Consider now the case  $c_1(\mathcal{E}) = 2$  and  $c_2(\mathcal{E}) = 11, 12, 13, 14$ . Let  $S$  be a surface associated with  $\mathcal{E}$  and call  $C$  a general hyperplane section of  $S$ , which is thus associated with  $\mathcal{E}'$ . One computes:

$$h^0(\mathcal{I}_S(5)) = 245 - 10 \deg(S) = 245 - 10c_2(\mathcal{E})$$

so that we only need to prove that:

$$(5.3) \quad h^0(N_S) < 10c_2(\mathcal{E}) + 7.$$

We use the results of [5] §4 and [6] case 5.7 to compute a minimal resolution for the ideal sheaf of  $C$  in  $\mathbb{P}^4$ , hence also a resolution of  $\mathcal{I}_S$ , which leads to the computation of  $h^0(N_S)$ , via proposition 4.2.

**Case 5.7.**  $c_1(\mathcal{E}) = 2$ ,  $c_2(\mathcal{E}) = 11$ .

By [5] §4 the resolution of the ideal sheaf  $\mathcal{I}_S$  is:

$$(5.4) \quad \begin{aligned} 0 \rightarrow \mathcal{O}_{\mathbb{P}^5}(-7) \rightarrow \mathcal{O}_{\mathbb{P}^5}^b(-3) \oplus \mathcal{O}_{\mathbb{P}^5}^c(-4) \oplus \mathcal{O}_{\mathbb{P}^5}^3(-5) \rightarrow \\ \rightarrow \mathcal{O}_{\mathbb{P}^5}^3(-2) \oplus \mathcal{O}_{\mathbb{P}^5}^c(-3) \oplus \mathcal{O}_{\mathbb{P}^5}^b(-4) \rightarrow \mathcal{I}_S \rightarrow 0. \end{aligned}$$

Comparing the first Chen classes in the exact sequence, one finds  $c = b - 2$ . Using equation 4.2 one is able to compute  $h^0(N_S)$ . It turns out that  $b$  and  $c$  cancel and one finds  $h^0(N_S) = 83 < 117$  so that  $\dim(I(5, 11, 12)) \leq 214$  and  $q(5)$  is not dominant.

**Case 5.8.**  $c_1(\mathcal{E}) = 2$ ,  $c_2(\mathcal{E}) = 12$ .

By [5] §4 the resolution of the ideal sheaf  $\mathcal{I}_S$  is:

$$(5.5) \quad \begin{aligned} 0 \rightarrow \mathcal{O}_{\mathbb{P}^5}(-7) \rightarrow \mathcal{O}_{\mathbb{P}^5}^b(-3) \oplus \mathcal{O}_{\mathbb{P}^5}^c(-4) \oplus \mathcal{O}_{\mathbb{P}^5}^2(-5) \rightarrow \\ \rightarrow \mathcal{O}_{\mathbb{P}^5}^2(-2) \oplus \mathcal{O}_{\mathbb{P}^5}^c(-3) \oplus \mathcal{O}_{\mathbb{P}^5}^b(-4) \rightarrow \mathcal{I}_S \rightarrow 0 \end{aligned}$$

where  $b = c - 1$  and  $b = 0, 1$ , according with the existence of a cubic syzygy between the two quadrics. In both cases, using equation 4.2 one computes  $h^0(N_S) = 81 < 127$  so that  $\dim(I(5, 12, 13)) \leq 205$  and  $q(5)$  is not dominant.

**Case 5.9.**  $c_1(\mathcal{E}) = 2$ ,  $c_2(\mathcal{E}) = 13$ .

In this case we have only one quadric containing  $S$  and the resolution of  $\mathcal{I}_S$  is given by:

$$(5.6) \quad \begin{aligned} 0 \rightarrow \mathcal{O}_{\mathbb{P}^5}(-7) \rightarrow \oplus \mathcal{O}_{\mathbb{P}^5}^4(-4) \oplus \mathcal{O}_{\mathbb{P}^5}(-5) \rightarrow \\ \rightarrow \mathcal{O}_{\mathbb{P}^5}(-2) \oplus \mathcal{O}_{\mathbb{P}^5}^4(-3) \rightarrow \mathcal{I}_S \rightarrow 0. \end{aligned}$$

So one computes  $h^0(N_S) = 79 < 137$ . It follows that  $q(5)$  is not dominant.

**Case 5.10.**  $c_1(\mathcal{E}) = 2$ ,  $c_2(\mathcal{E}) = 14$ .

By [5] §4 the resolution of the ideal sheaf  $\mathcal{I}_S$  is:

$$(5.7) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^5}(-7) \rightarrow \oplus \mathcal{O}_{\mathbb{P}^5}^7(-4) \rightarrow \oplus \mathcal{O}_{\mathbb{P}^5}^7(-3) \rightarrow \mathcal{I}_S \rightarrow 0$$

and one computes  $h^0(N_S) = 77 < 147$  so that  $q(5)$  is not dominant.

Finally for  $c_1 = 3$  we have:

**Case 5.11.**  $c_1(\mathcal{E}) = 3$ ,  $c_2(\mathcal{E}) = 20$ .

By [5] we know the resolution of the ideal sheaf of a curve associated with  $\mathcal{E}'$ , so that the ideal sheaf of a surface associated with  $\mathcal{E}$  is:

$$(5.8) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^5}(-8) \rightarrow \mathcal{O}_{\mathbb{P}^5}^4(-5) \rightarrow \mathcal{O}_{\mathbb{P}^5}^4(-3) \rightarrow \mathcal{I}_S \rightarrow 0.$$

One computes  $h^0(N_S) = 110$  and  $h^0(\mathcal{I}_S(5)) = 80$  so that  $\dim(I(5, 20, 31)) \leq 189$  and  $q(5)$  is not dominant.

Hence we may conclude

**Proposition 5.1.** *On a general hypersurface  $X \subset \mathbb{P}^5$  of degree 5 there are no indecomposable ACM rank 2 bundles.*

The main theorem follows.

**Remark 5.2.** By [4] there exists a non discrete family (up to twist) of isomorphism classes of indecomposable ACM vector bundles on any smooth projective hypersurface of degree  $r \geq 3$  in the 5-dimensional complex projective space  $\mathbb{P}^5$ . On a general  $X_r$  the rank of the bundles constructed in [4] is 16 (cfr. [14]).

The problem of determining the minimum rank  $BGS(X_r)$  for ACM bundles on  $X_r$  moving in a non-trivial family (the *BGS invariant*) is still open.

We prove in this paper that  $BGS(X_r) > 2$  for general hypersurfaces in  $\mathbb{P}^5$  of degree  $r \leq 6$ .

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